

# SUMMARY CONFLICTS POLICY

In this document “tastyfx,” “our,” “us,” and “we” refers to tastyfx LLC, a limited liability company organized under Delaware law, registered Retail Foreign Exchange Dealer (RFED) and Introducing Broker (IB) with the CFTC and Forex Dealer Member (FDM) of the National Futures Association (“NFA”) (NFA ID 0509630). You, the customer, may be referred to as “you,” “your,” “yours,” or “yourself,” as appropriate. Defined terms used within this document hold meaning as assigned in the Customer Agreement, except as otherwise defined herein.

We provide here, a summary of the policy we maintain in order to manage conflicts of interest in respect of the duties we owe to our customers.

## 01 GENERAL

A conflict of interest can arise between the IG Group companies and third-party agents (e.g., Introducing Brokers), the directors and staff of such companies and you, or between your interests and those of another customer of ours. Conflicts can also arise as a result of inducements to or from third parties.

We aim to establish and maintain and operate effective organizational and administrative arrangements with a view to taking all appropriate steps to prevent conflicts of interest from constituting or giving rise to a risk of damage to the interests of our customers.

## 02 CIRCUMSTANCES THAT CAN GIVE RISE TO CONFLICT

A conflict of interest may arise where an IG Group company or a relevant person (for example, an employee), or a person directly or indirectly linked by control to IG Group:

- is likely to make a financial gain, or avoid a financial loss, at the expense of the customer;
- has an interest in the outcome of a service provided to the customer or of a transaction carried out on behalf of the customer, which is distinct from the customer’s interest in that outcome;
- has a financial or other incentive to favor the interest of another customer or group of customers over the interests of the customer;
- carries on the same business as the customer; or
- receives or will receive from a person other than the customer an inducement in relation to a service provided to the customer, in the form of monies, goods or services, other than the standard commission or fee for that service.

For a conflict to arise an IG Group company or linked person must benefit and there must be a possible disadvantage to a customer.

## 03 THIRD PARTY OFFERS

We aim to keep a record of the kinds of activity we carry on in which a conflict could arise or has arisen, and, in doing so we take account of the activities of other members of our group of companies. We also maintain procedures to prevent or manage actual or potential conflicts. This includes procedures to maintain appropriate independence between members of our staff who are involved in different activities, for example, through the operation of information barriers, physical separation of staff, the segregation of duties and responsibilities and maintenance of a policy of independence which requires our staff, when providing services to a customer, to act in the best interests of the customer and to disregard any conflicts of interest; and, in some circumstances declining to act for a customer or potential customer.